



# TRANSMITTAL FORM

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Application Serial Number	09/939,275
Filing Date	August 24, 2001
First Named Inventor	Adams
Group Art Unit	1655
Examiner Name	Not yet assigned
Attorney Docket No.	EXT-062CN
Patent No.	Not applicable
Issue Date	Not applicable

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SEP 20 2002

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Receipt

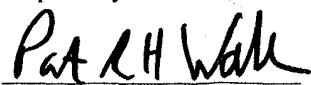
## ENCLOSURES (check all that apply)

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| <input type="checkbox"/> Fee Transmittal Form<br><input type="checkbox"/> Check Attached<br><input type="checkbox"/> Copy of Fee Transmittal Form   | <input type="checkbox"/> Copy of Notice to File Missing Parts of Application (PTO-1553)<br><input type="checkbox"/> Formal Drawing(s)<br><input type="checkbox"/> Request For Continued Examination (RCE) Transmittal<br><input type="checkbox"/> Power of Attorney (Revocation of Prior Powers)<br><input type="checkbox"/> Terminal Disclaimer   | <input type="checkbox"/> Notice of Appeal to Board of Patent Appeals and Interferences<br><input type="checkbox"/> Appeal Brief (in triplicate)<br><input type="checkbox"/> Status Inquiry<br><input checked="" type="checkbox"/> Return Receipt Postcard<br><input checked="" type="checkbox"/> Certificate of First Class Mailing under 37 C.F.R. 1.8<br><input type="checkbox"/> Certificate of Facsimile Transmission under 37 C.F.R. 1.8<br><input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below) <ul style="list-style-type: none"><li>• Request for Corrected Filing Receipt</li><li>• Copy of Updated Filing Receipt with changes marked in red</li><li>• Copy of Notice of Recordation of Assignment Document</li></ul> |
| <input type="checkbox"/> Amendment/Response<br><input type="checkbox"/> Preliminary<br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><input type="checkbox"/> Letter to Official Draftsperson including Drawings [Total Sheets _____] | <input type="checkbox"/> Executed Declaration and Power of Attorney for Utility or Design Patent Application<br><input type="checkbox"/> Small Entity Statement<br><input type="checkbox"/> CD(s) for large table or computer program<br><input type="checkbox"/> Amendment After Allowance<br><input type="checkbox"/> Request for Certificate of Correction<br><input type="checkbox"/> Certificate of Correction (in duplicate) |  |
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| <input type="checkbox"/> Information Disclosure Statement<br><input type="checkbox"/> Form PTO-1449<br><input type="checkbox"/> Copies of IDS Citations   |  |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)   |  |  |
| <input type="checkbox"/> Sequence Listing submission<br><input type="checkbox"/> Paper Copy/CD<br><input type="checkbox"/> Computer Readable Copy<br><input type="checkbox"/> Statement verifying identity of above   |  |  |

## CORRESPONDENCE ADDRESS

Direct all correspondence to: Patent Administrator  
Testa, Hurwitz & Thibault, LLP  
125 High Street  
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## SIGNATURE BLOCK

Respectfully submitted,  
  
Patrick R.H. Waller  
Attorney for Applicants  
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Date: August 22, 2002  
Reg. No.: 41,418  
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Fax No.: (617) 248-7100



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Attorney Docket No.: EXT-062CN

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APPLICANTS: Adams *et al.*  
SERIAL NO.: 09/939,275 GROUP NO.: 1655  
FILING DATE: August 24, 2001 EXAMINER: Not yet assigned  
TITLE: Methods for Purifying DNA Using Immobilized Capture Probes

**CERTIFICATE OF FIRST CLASS MAILING UNDER 37 C.F.R. 1.8**

I hereby certify that this correspondence, and any documents referred to as enclosed herein, are being deposited with the United States Postal Service as first class mail, postage prepaid, in an envelope addressed to the Office of Initial Patent Examination, Customer Service Center, Commissioner for Patents, Washington, DC 20231 on this 22<sup>nd</sup> day of August, 2002.

  
Nicole Deane

Office of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
Washington, D.C. 20231

Sir:

Submitted herewith are:

1. Transmittal Form (1 pg.)
2. Request for Corrected Filing Receipt (1 pg.)
3. Copy of Updated Filing Receipt with changes marked in red (2 pgs.)
4. Copy of Notice of Recordation of Assignment Document (1 pg.)
5. Return Receipt Postcard



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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Adams *et al.*  
SERIAL NO.: 09/939,275 GROUP NO.: 1655  
FILED: August 24, 2001 EXAMINER: Not yet assigned  
TITLE: Methods for Purifying DNA Using Immobilized Capture Probes

Office of Initial Patent Examination  
Customer Service Center  
Commissioner for Patents  
Washington, DC 20231

**REQUEST FOR CORRECTED FILING RECEIPT**

1. Attached is a copy of the official filing receipt received from the Patent Office for the above-identified application for which issuance of a corrected filing receipt is respectfully requested.
2. Please note that subsequent to the filing of the application, MT Technology, Inc. (formerly known as Mosaic Technologies, Inc.) assigned their interests in the above-referenced patent application to EXACT Sciences Corporation. We have enclosed a copy of the Notice of Recordation of Assignment Document reflecting this assignment.
3. There is an error with respect to the following data, which is:

☒ incorrectly entered

*and/or*

☐ omitted

***Error In***

1. ☒ Assignment For Published Patent Application

***Correct Data***

1. EXACT Sciences Corporation,  
Maynard, MA

Date: August 22, 2002  
Reg. No.: 41,418

Tel. No.: (617) 248-7240  
Fax No.: (617) 248-7100

Respectfully submitted,

*Pat R.H. Wall*

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UNITED STATES PATENT AND TRADEMARK OFFICE  
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/939,275	08/24/2001	1655	355	EXT-062CN	6	20	3

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CONFIRMATION NO. 3814

UPDATED FILING RECEIPT



\*OC000000008612818\*

Date Mailed: 08/12/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

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## Applicant(s)

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Nevin M. Summers, Brookline, MA;

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## Assignment For Published Patent Application

~~Mosaic Technologies, Inc., Waltham, MA;~~  
Exact Sciences Corporation, Maynard, MA;

## Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CON OF 09/259,467 02/26/1999 ABN  
WHICH IS A CIP OF PCT/US98/09952 05/15/1998  
AND IS A CIP OF 08/971,845 08/08/1997  
WHICH CLAIMS BENEFIT OF 60/046,708 05/16/1997

## Foreign Applications

If Required, Foreign Filing License Granted 09/18/2001

Projected Publication Date: 11/21/2002

Non-Publication Request: No

Early Publication Request: No

To: [illegible]

KAS

8-16-02

Administrative

Data

To: [illegible]

MG

8-20-02

Administrative

Data

**\*\* SMALL ENTITY \*\*****Title**

Methods for purifying DNA using immobilized capture probes

**Preliminary Class**

435

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Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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APRIL 11, 2002

TESTA, HURWITZ & THIBEAULT, LLP  
PATENT ADMINISTRATOR  
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PTAS

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\*102036765A\*

Chief Information Officer  
Washington, DC 20231  
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THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 01/16/2002

REEL/FRAME: 012575/0799  
NUMBER OF PAGES: 17

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

MT TECHNOLOGY, INC (FORMERLY KNOW  
AS MOSAIC TECHNOLOGIES, INC.)  
THROUGH MMC/GATX PARTNERSHIP NO.  
1 AND TRANSAMERICA TECHNOLOGY  
FINANCE CORPORATION

DOC DATE: 11/07/2001

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ASSIGNEE:

EXACT SCIENCES CORPORATION  
63 GREAT ROAD  
MAYNARD, MASSACHUSETTS 01754

TECH CENTER 1600/2900

SERIAL NUMBER: 09939275  
PATENT NUMBER:

FILING DATE: 08/24/2001  
ISSUE DATE:

STEVEN POST, EXAMINER  
ASSIGNMENT DIVISION  
OFFICE OF PUBLIC RECORDS